

Express Mail No.: EL 451 594 043 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:  
FIEDOTIN et al.

Group Art Unit: 2768

Application No.: 09/487,932

Examiner: Not yet assigned

Filed: January 20, 2000

Attorney Docket No. 10160-07-999

For: *Method and System for Providing  
Current Industry Specific Data to  
Physicians*

Date: April 27, 2000

TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(f) mailed April 12, 2000, attached please find Form PTO-1533, Part 2; a Declaration and Power of Attorney; a Small Entity Declaration; and an executed Assignment document with Recordation Form Cover Sheet.

Applicants' attorney has noted a typographical error in the spelling of inventor Jeffrey Alan Tangney's name on the Filing Receipt, and requests the error be corrected. A copy of the Application Transmittal and signed Declaration are enclosed for convenience.


Applicants' attorney also requests that the attorney docket number be changed to 10160-07-999.

The fee for this response is estimated to be \$105.00 which includes the Missing Parts surcharge and assignment recordation fee. The Commissioner is hereby authorized to charge

the required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150. This form is submitted in duplicate for such purpose.

Respectfully submitted,

Date 27 APRIL 2000

 45,645  
Dion M. Bregman (Reg. No.)  
for Francis E. Morris 24,615

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(650) 493-4935

Enclosure



## FORMALITIES LETTER



\*OC000000005045262\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/487,932	01/20/2000	Richard Alan Fiedotin	10160-02-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

Date Mailed: 04/12/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$186.
  - \$108 for 6 total claims over 20.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1006.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FILING RECEIPT**

\*OC000000005045261\*


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: ASSISTANT SECRETARY AND  
 COMMISSIONER OF PATENT AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/487,932	01/20/2000	2768	0	10160-02-999	7	26	4

 20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711

# COPY

Date Mailed: 04/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 Richard Alan Fiedotin, San Mateo, CA ;  
 Jeefrey Alan Tangney, Portola Valley, CA ;  
 Thomas Ho Lee, San Francisco, CA ;

 RECEIVED  
 PENNIE & EDMONDS

 APR 17 2000  
 DMB-244  
 CA OFFICE
**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 04/12/2000

\*\*

REFERRED TO REC'D  APR 14 2000  Pennie & Edmonds O.K. for filing _____
--

**Title**

Method and system for providing current industry specific data to physicians

**Preliminary Class**

705

Data entry by : BARNES, CAROL

Team : OIPE

Date: 04/12/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

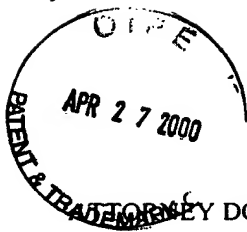
**PENNIE & EDMONDS LLP**

COUNSELLORS AT LAW

1155 Avenue of the Americas

New York, N.Y. 10036-2711

(212) 790-9090

ATTORNEY DOCKET NO. 10160-02-999Date: January 20, 2000

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

**COPY**

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Richard Alan Fiedotin, Jeffrey Alan Tangney and  
Thomas Ho Lee

Executed on: Unexecuted

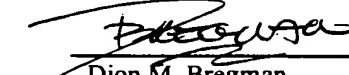
Title of Invention: Method and System for Providing Current Industry Specific Data to Physicians

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	26	-20	6	\$18.00 each	\$ 108.00
Independent	4	-3	1	\$78.00 each	\$ 78.00
Minimum Fee					\$ 690.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
<b>Total</b>					\$ 876.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 438.00
<b>Total Filing Fee</b>					\$ 438.00

- ☐ Priority of application no. filed on in is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Respectfully submitted,

  
Dion M. Bregman  
for Francis E. Morris  
PENNIE & EDMONDS LLP

P-45,645

(Reg. No.)

24,615

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.